IMPROPER PAYMENT FORM REQUIREMENTS

ACF 400 – SECTION I – 100 APPLICATION/RE-DERTERMINATION FORM



|  |  |  |  |
| --- | --- | --- | --- |
| ELEMENTS OF ELIGIBILITY & PAYMENT AUTHORIZATION (1) | ANALYSIS OF CASE RECORD (2) | FINDINGS (3) | RESULTS (4) |
| Determine presence, date, and completeness of required eligibility forms. Examples include (1) signed and dated CCDF application form, (2) child care agreement, and (3) voucher or certificate. | |  |  |  | | --- | --- | --- | | |  |  | | --- | --- | |  | Signed and Dated Child Care Application and Fee Agreement, and voucher as applicable | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Signed and Dated Financial Assistance Statement | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Signed and Dated Attendance Notification Agreement  Copy of voucher (applies to subsidy type voucher only)  Comment box remains as before | | |  | 1. [Y/N] No Error (0)   Error (1)   1. [Y/N] Insufficient/Missing Documentation |

**Business Rule:**

This form applies to –

1. Voucher – IE, DTA, DCF(DHCD), ARRA
2. Contract – IE, DCF (DHCD), ARRA
3. Authorization Type – initial and reassessment.
4. Authorization type renewal means re-assessment
5. Service code 2A is treated as DTA only. If service code 2 has changed to 3 then it is treated as IE (DTA-IE cases)

IMPROPER PAYMENT FORM REQUIREMENTS

ACF 400 – SECTION I – 200 PRIORITY GROUP PLACEMENT



|  |  |  |  |
| --- | --- | --- | --- |
| ELEMENTS OF ELIGIBILITY & PAYMENT AUTHORIZATION (1) | ANALYSIS OF CASE RECORD (2) | FINDINGS (3) | RESULTS (4) |
| Determine if client meets criteria of any State-designated priority groups, e.g., special needs or low income | * DTA Autho   \_ TAFDC Autho/Referral  \_ Transitional Autho/Referral  - DCF Autho  \_ Autho/Referral   * General priority * Parent with special needs   \_ Verification form in file   * Child with special needs   \_ Verification form in file   * Homeless   \_ DHCD/DCF referral in file   * Child of teen parent   \_ Copy of birth certificate  \_ Driver license  \_ Other government issue ID  \_ Passport   |  |  | | --- | --- | | |  | | --- | | **If Teen parent** | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | copy of birth certificate | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | driver's license | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | other government issued ID (state ID card, military dependent's card, or passport) that lists DOB | |   Comment box remains as before  Comment box remains as before |  | 1. [Y/N] No Error (0)   Error (1)   1. [Y/N] Insufficient/Missing Documentation |

IMPROPER PAYMENT FORM REQUIREMENTS

ACF 400 – SECTION I – 300 QUALIFYING HEAD OF HOUSEHOLD



|  |  |  |  |
| --- | --- | --- | --- |
| ELEMENTS OF ELIGIBILITY & PAYMENT AUTHORIZATION (1) | ANALYSIS OF CASE RECORD (2) | FINDINGS (3) | RESULTS (4) |
| Determine if client meets parent definition (parent means a parent by blood, marriage or adoption and also means a legal guardian, or other person standing in loco parentis), e.g., (1) parent, (2) step-parent, (3) legal guardian, (4) needy caretaker relative, or (5) spouse of same. | |  |  | | --- | --- | |  | Parent, step-parent, adoptive | |  | Legal guardian | |  | DTA referral | |  | DCF Referral | |  | Caretaker, foster parent | |  | |  |  |  | | --- | --- | --- | | |  |  | | --- | --- | |  | Other [http://eec-tst-web-v01/improperpayment/images/help.gif](javascript:displayHelp('ctl00_ContentPlaceHolder1_lstForms_ctl02_frmTemplate_lstRequirements_lstRequirements_ctl03_pnlHelp');)  Another person who lives with, supervises, and cares for a child(ren) whose parents do not live in the home, e.g. a grandparent, and teen parent (must be under 20 years old) | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | **Relationship between parent and child** | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Birth certificate indicating name(s) of parents and child | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | **Or document contains both the name of the child and applicant** | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | baptismal certificate | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | hospital birth record | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | copy of previous EEC child care voucher | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | social security benefits record | | | |  |  |  | | --- | --- | --- | |  |  | Legal guardian | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | court guardianship records (for both permanent and temporary guardians) | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | school records showing the address of the child and name and relationship of relative responsible for child | | | |  | | --- | | **Application ID with photograph** | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Passport | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Certificate of US Citizenship | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Certificate of Naturalization | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | US Military Retiree Card or Uniform Service  Identification Privilege Card | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Driver's license, permit or state ID | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Military ID card | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | School identification card  Comment box remains as before | | | |  | 1. [Y/N] No Error (0)   Error (1)   1. [Y/N] Insufficient/Missing Documentation |

**Business Rule:**

If DTA authorized in section 200, then analysis of case record column enables one check box only – application ID with photo

If DCF authorized in section 200, then analysis of case record column enables one check box only – application ID with photo

IMPROPER PAYMENT FORM REQUIREMENTS

ACF 400 – SECTION I – 310 RESIDENCY



|  |  |  |  |
| --- | --- | --- | --- |
| ELEMENTS OF ELIGIBILITY & PAYMENT AUTHORIZATION (1) | ANALYSIS OF CASE RECORD (2) | FINDINGS (3) | RESULTS (4) |
| Determine if client is a resident of the State and for what duration, if client is a resident of the county and for what duration, and whether there is an agreement regarding eligibility among counties. | |  |  |  | | --- | --- | --- | | |  |  | | --- | --- | |  | MA Utility bills | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | MA property tax bill or receipt | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | MA mortgage documents or MA home owners insurance | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | MA W-2 form for most recent state and/or federal tax return but no older than 18 months | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | MA weapons permit | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | MA motor vehicles registration card | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | MA voters registration card | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | MA school enrollment form if applicant is under 18 | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | MA proof of undergraduate/graduate student of in-state tuition payment verification | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | MA homestead tax exemption | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Residential rental and/or lease agreement | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Letter from MA Shelter program confirming that parent and children reside at the shelter | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Other documentation linking an individual to a specific address | | | |  | | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif | | | Comment box remains as before | |  | 1. [Y/N] No Error (0)   Error (1)   1. [Y/N] Insufficient/Missing Documentation |

**Business Rule:**

If DTA authorized in section 200, then enable check box with a check mark on verify by DTA

If DCF authorized in section 200, then enable check box with a check mark on verify by DCF

IMPROPER PAYMENT FORM REQUIREMENTS

ACF 400 – SECTION I – 320 PARENTRAL WORK/TRAINING STATUS

(PARENT 1 )



|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| ELEMENTS OF ELIGIBILITY & PAYMENT AUTHORIZATION (1) | ANALYSIS OF CASE RECORD (2) | FINDINGS (3) | RESULTS (4) | |
| To receive services a child's parent or parents must be working or attending a job training or educational program or have a child receiving or needing to receive protective services. | Parent 1   |  |  |  |  |  | | --- | --- | --- | --- | --- | |  | | **Seeking Employment** [http://eec-tst-web-v01/improperpayment/images/help.gif](javascript:displayHelp('ctl00_ContentPlaceHolder1_lstForms_ctl04_frmTemplate_lstRequirements_lstRequirements_ctl01_pnlHelp');)  Families applying for EEC financial  assistance for the first time do not need  to submit evidence of initial job search | | | |  |  | | | Employment | |  |  | | | Copies of pay stubs or EVF for cash/  self-employment | |  | | | Initial 8 weeks  Additional 4 weeks:letter from employer | | | |  | | --- | | **Education and Training: Parent enrolled in full-time**  **high school or GED; vocation training program; ESL; or**  **college/university, not including graduate, medical, or**  **law school** [http://eec-tst-web-v01/improperpayment/images/help.gif](javascript:displayHelp('ctl00_ContentPlaceHolder1_lstForms_ctl04_frmTemplate_lstRequirements_lstRequirements_ctl03_pnlHelp');)  Note: work study, practicum, clinical experience or internship is  considered employment | | | | | | | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | If enrolled; written statement from college/university to verify enrollment and number of credits | | | | | | | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | If if participating in training or high school, written statement by the school or program to verify enrollment and duration/schedule of program | | | | | | | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | **Military Service** | | | | | | | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Copy of military orders | | | | | | | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | **Maternity Leave** [http://eec-tst-web-v01/improperpayment/images/help.gif](javascript:displayHelp('ctl00_ContentPlaceHolder1_lstForms_ctl04_frmTemplate_lstRequirements_lstRequirements_ctl10_pnlHelp');)  parent on parental leave from previously approved  EEC activity | | | | | | | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Le letter from employer indicating allowance of  maternity leave, with verification of salary received  during the leave, and the duration | | | | | | | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | **Child or parent with documented special need(Exempt from activity requirement)** | | | | | | | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Ve verification of Special Needs form | | | | | | | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | **Parent/Guardian age 65 or older and retired**  **(Exempt from activity requirement)** | | | | | | | | | |  | | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif | | | | | | | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Ve verification of age (birth certificate, driver's  license, passport, government ID, or social security card) | | | | | | | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Court order granting temporary or permanent custody | | | | | | | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | **DHCD/DCF Homeless** | | | | | | | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | **DHCD/DCF Referral** | | | | | | | | |  | | | Comment box remains as before | | |  | 1. [Y/N] No Error (0)   Error (1)   1. [Y/N] Insufficient/Missing Documentation |

**Business Rule:**

If DTA authorized in section 200, then enable check box with a check mark on verify by DTA

If DCF authorized in section 200, then enable check box with a check mark on verify by DCF

IMPROPER PAYMENT FORM REQUIREMENTS

ACF 400 – SECTION I – 320 PARENTRAL WORK/TRAINING STATUS

(PARENT 2 )



|  |  |  |  |
| --- | --- | --- | --- |
| ELEMENTS OF ELIGIBILITY & PAYMENT AUTHORIZATION (1) | ANALYSIS OF CASE RECORD (2) | FINDINGS (3) | RESULTS (4) |
| To receive services a child's parent or parents must be working or attending a job training or educational program or have a child receiving or needing to receive protective services. | Parent 2  Same as parent 1  Comment box remains as before |  | 1. [Y/N] No Error (0)   Error (1)   1. [Y/N] Insufficient/Missing Documentation |

**Business Rule:**

If DTA authorized in section 200, then enable check box with a check mark on verify by DTA

If DCF authorized in section 200, then enable check box with a check mark on verify by DCF

IMPROPER PAYMENT FORM REQUIREMENTS

ACF 400 – SECTION I – 330 QUALIFYING CHILD



|  |  |  |  |
| --- | --- | --- | --- |
| ELEMENTS OF ELIGIBILITY & PAYMENT AUTHORIZATION (1) | ANALYSIS OF CASE RECORD (2) | FINDINGS (3) | RESULTS (4) |
| Determine if child is eligible for services, including (1) younger than 13 years, (2) younger than 19 years and physically or mentally incapable of caring for himself or herself, or under court supervision or (3) meets other eligibility requirements defined in the State Plan, and (4) if child meets citizenship requirements as set forth in Federal policy. | |  |  |  | | --- | --- | --- | | |  |  | | --- | --- | |  | Birth certificate | | | |  |  | | --- | --- | |  | Other | | |  | | Under 13 years | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Birth certificate | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Other (explain comments) | | | Between 13 and 16 years | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Verification of special needs form | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Birth certificate | | | **Proof of Citizenship** | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Birth certificate | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | US passport | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Report of birth abroad of a US citizen | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Other evidence of United states citizenship, United states National status or Alien status | | | **[Add pop up – see Appendix R of Policy Guide]** | | Comment box remains as before | |  | 1. [Y/N] No Error (0)   Error (1)   1. [Y/N] Insufficient/Missing Documentation |

**Business Rule:**

If DTA authorized in section 200, then enable check box with a check mark on verify by DTA

If DCF authorized in section 200, then enable check box with a check mark on verify by DCF

IMPROPER PAYMENT FORM REQUIREMENTS

ACF 400 – SECTION I – 340 QUALIFYING CARE



|  |  |  |  |
| --- | --- | --- | --- |
| ELEMENTS OF ELIGIBILITY & PAYMENT AUTHORIZATION (1) | ANALYSIS OF CASE RECORD (2) | FINDINGS (3) | RESULTS (4) |
| Determine number of hours needing authorization during review period, based on parental work/training status or child's protective services status. Determine hours and type of care authorized. | |  |  | | --- | --- | | |  | | --- | | **Case record hours authorized** | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Full time [http://eec-tst-web-v01/improperpayment/images/help.gif](javascript:displayHelp('ctl00_ContentPlaceHolder1_lstForms_ctl06_frmTemplate_lstRequirements_lstRequirements_ctl01_pnlHelp');)  must participate in EEC approved activities at least 30 hours/week to be eligible for full time care (50 hours max) in accordance with the schedule of activities [Note: a parent/guardian participating in 12 credit hours or more of college courses will be eligible for full-time service need, regardless of schedule] | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Part time [http://eec-tst-web-v01/improperpayment/images/help.gif](javascript:displayHelp('ctl00_ContentPlaceHolder1_lstForms_ctl06_frmTemplate_lstRequirements_lstRequirements_ctl02_pnlHelp');)  must participate in EEC approved activities at least 20 hours/week, but less than 30 in accordance with the schedule of activities [Note: parents with part-time service need whose hours coincide with before/after school program may receive EEC financial assistance for that care during the academic year. During the summer, the subsidy will be provided in accordance with the parent's schedule, for 30 hours/week max] | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | **Reviewer hours authorized** | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Full time [http://eec-tst-web-v01/improperpayment/images/help.gif](javascript:displayHelp('ctl00_ContentPlaceHolder1_lstForms_ctl06_frmTemplate_lstRequirements_lstRequirements_ctl04_pnlHelp');)  must participate in EEC approved activities at least 30 hours/week to be eligible for full time care (50 hours max) in accordance with the schedule of activities [Note: a parent/guardian participating in 12 credit hours or more of college courses will be eligible for full-time service need, regardless of schedule] | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Part time [http://eec-tst-web-v01/improperpayment/images/help.gif](javascript:displayHelp('ctl00_ContentPlaceHolder1_lstForms_ctl06_frmTemplate_lstRequirements_lstRequirements_ctl05_pnlHelp');)  must participate in EEC approved activities at least 20 hours/week, but less than 30 in accordance with the schedule of activities [Note: parents with part-time service need whose hours coincide with before/after school program may receive EEC financial assistance for that care during the academic year. During the summer, the subsidy will be provided in accordance with the parent's schedule, for 30 hours/week max]  Comment box remains as before | | |  | 1. [Y/N] No Error (0)   Error (1)   1. [Y/N] Insufficient/Missing Documentation |

**Business Rule:**

If DTA authorized in section 200, then enable check box with a check mark on verify by DTA

If DCF authorized in section 200, then enable check box with a check mark on verify by DCF

IMPROPER PAYMENT FORM REQUIREMENTS

ACF 400 – SECTION I – 350 QUALIFYING PROVIDER ARRANGEMENT



|  |  |  |  |
| --- | --- | --- | --- |
| ELEMENTS OF ELIGIBILITY & PAYMENT AUTHORIZATION (1) | ANALYSIS OF CASE RECORD (2) | FINDINGS (3) | RESULTS (4) |
| Determine if services are provided by a center-based child care provider, a group home child care provider, a family child care provider and that the provider met all regulatory requirements. | |  | | --- | |  | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Center-based | | | Licensed  License-exempt  Unlicensed  [instead of arrow use check box on app] | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Group home  Licensed  License-exempt  Unlicensed | | | [instead of arrow use check box on app] | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Family Child Care | | | Licensed  License-exempt  Unlicensed  [instead of arrow use check box on app] | | |  | | --- | | **In-Home/Relative (license exempt): CCR&R** | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | provider registration form complete | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | childcare provider agreement | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | health & safety checklist | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | verification of attendance at CCR&R orientation | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | verification of provider's age | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | verification of provider's identity | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | location of care matches parents' address | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | **Non relative only** | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | proof of CORI  Comment box remains as before | | |  | 1. [Y/N] No Error (0)   Error (1)   1. [Y/N] Insufficient/Missing Documentation |

**Business Rule:**

If DTA authorized in section 200, then enable check box with a check mark on verify by DTA

If DCF authorized in section 200, then enable check box with a check mark on verify by DCF

IMPROPER PAYMENT FORM REQUIREMENTS

ACF 400 – SECTION I – 400 INCOME

(PARENT 1)



|  |  |  |  |
| --- | --- | --- | --- |
| ELEMENTS OF ELIGIBILITY & PAYMENT AUTHORIZATION (1) | ANALYSIS OF CASE RECORD (2) | FINDINGS (3) | RESULTS (4) |
| Describe income documentation verification and documentation for household members. Specify time period (e.g., based on 4- weeks prior to application) and all income to be considered based on State policies and definitions (e.g., head of household employment).  Determine if household income meets state requirements (e.g. family gross income must be within x percent of the State’s median income).  Determine the amount of subsidy payment authorized for payment for a sample review month.  Determine if the amount authorized was based on income and family size, the State’s payment rate schedule, and any sliding fee schedule if applicable. | |  |  | | --- | --- | | [[Parent 1]](javascript:changeTab('ctl00_ContentPlaceHolder1_lstForms_ctl09_frmTemplate_lstRequirements_tab1','ctl00_ContentPlaceHolder1_lstForms_ctl09_frmTemplate_lstRequirements_tab2','ctl00_ContentPlaceHolder1_lstForms_ctl09_frmTemplate_lstRequirements_blkTab1','ctl00_ContentPlaceHolder1_lstForms_ctl09_frmTemplate_lstRequirements_blkTab2','1')) | [[Parent 2]](javascript:changeTab('ctl00_ContentPlaceHolder1_lstForms_ctl09_frmTemplate_lstRequirements_tab1','ctl00_ContentPlaceHolder1_lstForms_ctl09_frmTemplate_lstRequirements_tab2','ctl00_ContentPlaceHolder1_lstForms_ctl09_frmTemplate_lstRequirements_blkTab1','ctl00_ContentPlaceHolder1_lstForms_ctl09_frmTemplate_lstRequirements_blkTab2','2')) |  |  |  |  |  | | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | **Employment** [http://eec-tst-web-v01/improperpayment/images/help.gif](javascript:displayHelp('ctl00_ContentPlaceHolder1_lstForms_ctl09_frmTemplate_lstRequirements_lstRequirements_ctl00_pnlHelp');)  [Note: income for parents/guardians in military excludes compensation for service in combat/hazardous duty area] | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | copies of paystubs for one month (four weeks within the most recent six week period) | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | **Newly employed; more than four weeks** | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Copies of paystubs for one month | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | **Existing self-employment** [http://eec-tst-web-v01/improperpayment/images/help.gif](javascript:displayHelp('ctl00_ContentPlaceHolder1_lstForms_ctl09_frmTemplate_lstRequirements_lstRequirements_ctl04_pnlHelp');)  Reflected in most recent federal tax returns | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Employment Verification Form | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Report of Self-Employment Earnings Form | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | copies of business registration with MA DOR, certificate, licenses, certification of incorporation, or other documentation | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | copies of most recent federal tax returns and schedules | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | tax return transcript for most recent federal tax returns | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | **Newly self-employed** [http://eec-tst-web-v01/improperpayment/images/help.gif](javascript:displayHelp('ctl00_ContentPlaceHolder1_lstForms_ctl09_frmTemplate_lstRequirements_lstRequirements_ctl10_pnlHelp');)  income is not reflected in most recent federal tax return. If tax returns and transcript are unavailable, they must be submitted at next reassessment | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | copies of federal tax returns for first year of self-employment and schedules | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | tax return transcript for federal tax returns for first year of self-employment | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | **Other Sources of Income** [http://eec-tst-web-v01/improperpayment/images/help.gif](javascript:displayHelp('ctl00_ContentPlaceHolder1_lstForms_ctl09_frmTemplate_lstRequirements_lstRequirements_ctl13_pnlHelp');)  Note: earned income includes tips and commissions | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Alimony [http://eec-tst-web-v01/improperpayment/images/help.gif](javascript:displayHelp('ctl00_ContentPlaceHolder1_lstForms_ctl09_frmTemplate_lstRequirements_lstRequirements_ctl14_pnlHelp');)  Alimony or Child Support paid or received;copy of court order or most recent amendment; copies of check from payer; or child support verification from DOR [Note: child support and/or alimony paid to another household should be deducted from the applicant's gross income] | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Child support | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Lottery Earnings [http://eec-tst-web-v01/improperpayment/images/help.gif](javascript:displayHelp('ctl00_ContentPlaceHolder1_lstForms_ctl09_frmTemplate_lstRequirements_lstRequirements_ctl16_pnlHelp');)  Statement from State Lottery Commission indicating amount of awards, and number and amount of installment payments, if applicable | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Pension/Retirement Income [http://eec-tst-web-v01/improperpayment/images/help.gif](javascript:displayHelp('ctl00_ContentPlaceHolder1_lstForms_ctl09_frmTemplate_lstRequirements_lstRequirements_ctl17_pnlHelp');)  copy of award letter or check stub | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Social Security Income and Retirement Benefits [http://eec-tst-web-v01/improperpayment/images/help.gif](javascript:displayHelp('ctl00_ContentPlaceHolder1_lstForms_ctl09_frmTemplate_lstRequirements_lstRequirements_ctl18_pnlHelp');)  copy of award letter or check stub | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Workers Compensation [http://eec-tst-web-v01/improperpayment/images/help.gif](javascript:displayHelp('ctl00_ContentPlaceHolder1_lstForms_ctl09_frmTemplate_lstRequirements_lstRequirements_ctl19_pnlHelp');)  copy of award letter or check stub | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Unemployment Compensation [http://eec-tst-web-v01/improperpayment/images/help.gif](javascript:displayHelp('ctl00_ContentPlaceHolder1_lstForms_ctl09_frmTemplate_lstRequirements_lstRequirements_ctl20_pnlHelp');)  copy of award letter or check stub | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Rental Income [http://eec-tst-web-v01/improperpayment/images/help.gif](javascript:displayHelp('ctl00_ContentPlaceHolder1_lstForms_ctl09_frmTemplate_lstRequirements_lstRequirements_ctl21_pnlHelp');)  Total rental income less the cost of utilities, mortgage, and tax paid, verified through appropriate documentation, including copies of utility, mortgage, and property | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Dividends/Income from Estates or Trusts [http://eec-tst-web-v01/improperpayment/images/help.gif](javascript:displayHelp('ctl00_ContentPlaceHolder1_lstForms_ctl09_frmTemplate_lstRequirements_lstRequirements_ctl22_pnlHelp');)  Copy of check or interest statement | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | **Exempt from Income** | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Grandparent/Guardian UNDER 65 | | | |  |  |  | | --- | --- | --- | | http://eec-tst-web-v01/improperpayment/images/spacer.gif |  | Foster care | | | |  |  |  |  | | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | |  |  | standard category, teen parent, or grandparent/guardian OVER 65 years of age(income at or below 85% SMI) | | | |  |  |  | | --- | --- | --- | |  |  | grandparent/guardian family: UNDER 65 years of age (income exempt) | | | |  |  |  | | --- | --- | --- | |  |  | family with child or parent with documented special need(income at or below 100% SMI) | | | |  |  |  | | --- | --- | --- | |  |  | Non-DCF foster care (income exempt) | | | |  |  |  | | --- | --- | --- | |  |  | DTA Post-transitional (income at or below 85%) | | | Case Record Income: | | Case Record Family Size: | | Case Record Household meets SMI requirement: | | Reviewer Income: | | Reviewer Family Size: | | Reviewer Household meets SMI requirement:   |  | | --- | | Case Record Family Income: | | Case Record Family Size: | | Case Record Fee Level: | | Case Record Parent Daily Fee: | | Case Record Authorized Days: | | Case Record Provider Rate: | | Case Record Trans Amount: | | Case Record Authorized Amount: | |  | | Reviewer Family Income: | | Reviewer Family Size: | | Reviewer Fee Level: | | Reviewer Parent Daily Fee: | | Reviewer Authorized Days: | | Reviewer Provider Rate: | | Reviewer Trans Amount: | | Reviewer Authorized Amount: | | | Comment box remains as before | | |  | 1. [Y/N] No Error (0)   Error (1)   1. [Y/N] Insufficient/Missing Documentation |

**Business Rule:**

If DTA authorized in section 200, then enable check box with a check mark on verify by DTA

If DCF authorized in section 200, then enable check box with a check mark on verify by DCF

If Transitional, must maintain evidence of income as IE.

New and re-assessment have different SMI

Case record section data originates from database

Reviewer section data is entered by reviewer

IMPROPER PAYMENT FORM REQUIREMENTS

ACF 400 – SECTION I – 400 INCOME

(PARENT 2)



|  |  |  |  |
| --- | --- | --- | --- |
| ELEMENTS OF ELIGIBILITY & PAYMENT AUTHORIZATION (1) | ANALYSIS OF CASE RECORD (2) | FINDINGS (3) | RESULTS (4) |
|  | Same as parent 1 |  | 1. [Y/N] No Error (0)   Error (1)   1. [Y/N] Insufficient/Missing Documentation |

**Business Rule:**

If DTA authorized in section 200, then enable check box with a check mark on verify by DTA

If DCF authorized in section 200, then enable check box with a check mark on verify by DCF

IMPROPER PAYMENT FORM REQUIREMENTS

ACF 400 – SECTION I – 430 AUTHORIZATIONS/COMPUTATIONS



|  |  |  |  |
| --- | --- | --- | --- |
| ELEMENTS OF ELIGIBILITY & PAYMENT AUTHORIZATION (1) | ANALYSIS OF CASE RECORD (2) | FINDINGS (3) | RESULTS (4) |
| Compute the difference between the amount authorized to be paid in the sample review month and the amount that should have been authorized. This difference is the error amount. Indicate if the error amount is an Overauthorization or Underauthorization. | |  |  |  |  | | --- | --- | --- | --- | | |  | | --- | | Case Record Subsidy Amount Authorized: | | Reviewer Subsidy Amount Authorized: | |  | | | Comments: | |  | 1. [Y/N] No Error (0)   Error (1)   1. [Y/N] Insufficient/Missing Documentation |

**Business Rule:**

If DTA authorized in section 200, then enable check box with a check mark on verify by DTA

If DCF authorized in section 200, then enable check box with a check mark on verify by DCF